

Applicant or Patentee: Robert R. Granados, Gary W. Blissard  
and Guangyun Lin

Serial or Patent No.: \_\_\_\_\_

Attorney's

Filed or Issued: March 3, 2000

Docket No: BTI-44

Title: "STABLE CELL LINES RESISTANT TO APOPTOSIS AND NUTRIENT STRESS AND METHODS OF MAKING SAME"

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(d) - NONPROFIT ORGANIZATION)

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION : Boyce Thompson Institute For Plant Research, Inc.

ADDRESS OF ORGANIZATION: Tower Road, Ithaca, New York 14853

TYPE OF ORGANIZATION

☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))

☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED  
OF AMERICA: (NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)

☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC  
501(a) and 501(c)(3) IF LOCATED IN THE UNITED STATES OF AMERICA

☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATE OF STATE OF  
THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA:  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as  
defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States  
Code with regard to the invention entitled "STABLE CELL LINES RESISTANT TO APOPTOSIS AND  
NUTRIENT STRESS AND METHODS OF MAKING SAME"

by inventor(s) Robert R. Granados, Gary W. Blissard and Guangyun Lin described in:

☒ the specification filed herewith

☐ application serial number \_\_\_\_\_, filed \_\_\_\_\_

☐ patent number \_\_\_\_\_, issued \_\_\_\_\_

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit  
organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization  
having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the  
inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would  
not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organi under 37 CFR 1.9(e). \* NOTE:  
Separate verified statements are required from each named person, concern or organization having rights to the  
invention averring to their status as small entities.

NAME

ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, and patent issuing thereon, or any patent to which this verified statement is directed.

**TITLE OF PERSON SIGNING:** Vice President of Operations  
Boyce Thompson Institute For Plant Research, Inc.

SIGNATURE Joyce Frank DATE 3/3/00

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# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

- My residence, post office address and citizenship are as stated below next to my name.
- I believe I am an original, first and joint Inventor of the subject matter which is claimed and for which a patent is sought on the Invention entitled "STABLE CELL LINES RESISTANT TO APOPTOSIS AND NUTRIENT STRESS AND METHODS OF MAKING SAME", the specification of which

[ X ] is attached hereto

[ ] was filed on as

[ ] United States Application Number

[ ] PCT International Application Number

- I hereby state that I have reviewed and understand the contents of the above-identified application, including the claim and the drawing.
- I acknowledge the duty to disclose information which is material to patentability, as defined in 37 CFR §1.56. If this application claims priority from a prior application, I acknowledge the duty to disclose information which is material to patentability, as defined 37 CFR §1.56, which became available between the filing date of the prior application and the date of this application.
- As to the subject matter of this application:
  - a. I do not know and do not believe that the same was ever known or used in the United States of America before my invention thereof;
  - b. I do not know and do not believe that the same was ever patented or described in any printed publication in any country before my invention thereof, or more than one year prior to the date of this application;
  - c. I do not know and do not believe that the same was in public use or on sale in the United States of America more than one year prior to the date of this application; and
  - d. Said subject matter has not been patented or made the subject of an inventor's certificate issued in any country foreign to the United States of America on an application filed by me or my legal representative or assigns more than twelve months prior to the date of this application.

Please associate this application with USPTO Customer Number 020808

- I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Michael F. Brown, Reg. No. 29,619  
Christopher A. Michaels, Reg. No. 34,390

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09518763-030300

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"Recognizing that Internet communications are not secured, I hereby authorize the PTO to communicate with me concerning any subject matter of this application by electronic mail. I understand that a copy of these communications will be made of record in the application file"

- Address all correspondence to: Brown, Pinnisi and Michaels, P.C.  
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Gary W. Blissard      3/2/00  
Gary W. Blissard      Date

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Robert R. Granados      3-3-00  
Robert R. Granados      Date

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